Docket No.: 53887-017

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re Application of

David LOCKWOOD

Application No.: 09/890,815

Group Art Unit: 3628

Unit: 3628

Filed: February 6, 2002 : Examiner: H. Dass

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GROUP 3600

For: COMPUTER BORROW AND LOAN SECURITIES AUCTION SYSTEM

AMENDMENT

Mail Stop Non-fee Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The following Amendment and Remarks are submitted in response to the Office Action dated March 28, 2003. Please amend the above-identified application as follows. The claims are presented in the revised amendment format described in the Pre-OG Notice dated January 31, 2003, regarding waiver of the current provisions of 37 C.F.R. §§ 1.121(a)-1.121(d).